

JC03 Rec'd PCT/PTO 21 SEP 2004

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| FORM PTO-1390<br>MODIFIED  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER<br><b>36-1940</b>                             |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |   | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><b>10/549750</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2004/001276</b>  | INTERNATIONAL FILING DATE<br><b>24 March 2004</b>       | PRIORITY DATE CLAIMED<br><b>25 March 2003</b>                          |
| TITLE OF INVENTION<br><b>A BEHAVIOURAL TRANSLATOR FOR AN OBJECT</b>  |   |  |
| APPLICANT(S) FOR DO/EO/US<br><b>BALLIN et al</b>   |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input type="checkbox"/> The U.S. has been elected (Article 31).<br>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input checked="" type="checkbox"/> <b>WO 2004/086207 is attached hereto (67 pages specification, claims &amp; abstract (42 claims), 16 sheets drawings).</b><br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> is attached hereto (        pages specification, claims & abstract (        claims),        sheets drawings,        page Certificate of Translation).<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.<br>d. <input type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. a. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (        page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br><b>Items 11 To 20 below concern document(s) or information included:</b><br>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.<br>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.<br>13. a. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br>b. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.<br>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.<br>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input type="checkbox"/> Other items or information. |   |  |

**10/549750**  
Unknown

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| U.S. APPLICATION NO. (Form No. 37 C.F.R. 1.5)<br><b>Unknown</b>  |                                     | INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2004/001276</b>                     |                                 | ATTORNEY'S DOCKET NUMBER<br><b>36-1940</b> |                        |
| <input checked="" type="checkbox"/> The following fees are submitted:  |                                     |   |                                 |  |                        |
| <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>  |                                     |   |                                 |  |                        |
| 21.  | <input checked="" type="checkbox"/> | Basic national fee .....  | \$300.00 (1631)/\$150.00 (2631) |  | \$ 300.00              |
| 22.  | <input checked="" type="checkbox"/> | Examination Fee.....<br>(1643/2643)   | \$0                             |  | \$ 200.00              |
|  |                                     |   | \$200.00 (1633)/\$100.00 (2633) |  |                        |
| 23.  | <input checked="" type="checkbox"/> | Search Fee .....  | \$0 (1640/2640)                 |  |                        |
|  |                                     |   | \$100 (1641)/\$50 (2641)        |  |                        |
|  |                                     |   | \$400 (1642)/\$200 (2642)       |  |                        |
|  |                                     |   | \$500.00 (1632)/\$250.00 (2632) |  | \$ 400.00              |
| <b>TOTAL OF ABOVE CALCULATIONS</b>   |                                     |   |                                 |  | \$ 900.00              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |                                     |   |                                 |  |                        |
| Total Sheets   | Extra Sheets                        | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE                            |  |                        |
| 0 -100   | 0                                   | /50 =   | 0.00                            |  |                        |
|  |                                     |   | \$0.00 (1681)                   |  |                        |
|  |                                     |   | \$0.00 (2681)                   |  |                        |
| Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).   |                                     |   |                                 |  |                        |
|  |                                     |   |                                 |  | \$                     |
| CLAIMS   | NUMBER FILED                        | # EXTRA   | RATE                            |  |                        |
| Total Claims   | 42                                  | minus 20 =  | \$50.00 (1615)/ \$25.00 (2615)  |  | \$ 1100.00             |
| Independent Claims   | 3                                   | minus 3 =   | \$200.00 (1614) \$100.00 (2614) |  |                        |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)   |                                     |   | \$360.00 (1616)/\$180.00 (2616) |  | \$ 0.00                |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254) |                                     |   |                                 |  |                        |
|  |                                     |   |                                 |  | \$ 0.00                |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  |                                     |   |                                 |  |                        |
| Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).  |                                     |   |                                 |  |                        |
|  |                                     |   |                                 | +  | 0.00                   |
| <b>TOTAL NATIONAL FEE =</b>  |                                     |   |                                 |  | <b>\$ 2000.00</b>      |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property   |                                     |   |                                 |  |                        |
|  |                                     |   |                                 | +  | \$ 40.00               |
| Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453)   |                                     |   |                                 |  |                        |
|  |                                     |   |                                 |  | \$ 0.00                |
| <b>TOTAL FEES ENCLOSED =</b>   |                                     |   |                                 |  | <b>\$ 2040.00</b>      |
|  |                                     |   |                                 |  | Amount to be refunded: |
|  |                                     |   |                                 |  | \$                     |
|  |                                     |   |                                 |  | Amount to be Charged:  |
|  |                                     |   |                                 |  | \$                     |

- a. ☐ A check in the amount of \$2040.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees.
- A duplicate copy of this form is enclosed.

- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
- d. ☒ **CREDIT CARD PAYMENT FORM ATTACHED.**
- e. ☒ The entire content of International Application No. **PCT/GB2004/001276** and any U.S. and foreign application(s) corresponding thereto, and GB 0306875.6, referred to in this application is/are hereby incorporated by reference in this application.
- NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.

CORRESPONDENCE ADDRESS

Direct all correspondence to:

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LSN:lmy

JC20 Rec'd PCT/PTO 21 SEP 2005

*Larry S. Nixon*  
Larry S. Nixon  
NAME

25,640

September 21, 2005

REGISTRATION NUMBER

Date